

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Cesare CLEMENTI, Gabriella GHIDINI, and Carlo RIVA
Serial No.: Unassigned
Filing Date: Herewith
For: PROCESS FOR FORMING AN INTEGRATED CIRCUIT COMPRISING
NON-VOLATILE MEMORY CELLS AND SIDE TRANSISTORS OF AT
LEAST TWO DIFFERENT TYPES, AND CORRESPONDING IC
Examiner: Unassigned
Art Unit: Unassigned

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26 Mar 03
P. Tallo
JC474 U.S. PTO
10/046638
01/14/02

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

Sir:

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed within three months of the filing date of a National Application. No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The examiner's attention is hereby drawn to the attached European search report.

PART III: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Cesare CLEMENTI, Gabriella GHIDINI, and Carlo RIVA

By: 

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Docket No. S1022/8827
Dated: January 14, 2002

FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: Unassigned		ATTY. DOCKET NO.: S1022/8827	
				FILING DATE: Herewith			
				APPLICANT: Cesare CLEMENTI et al.			
				GROUP ART UNIT: Unassigned		EXAMINER: Unassigned	
Sheet	1	of	1				

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U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYY
		Number	Kind Code		
		4,471,373		Shinji Shimizu, et al.	09/11/84
		4,780,424		Mark A. Holler et al.	10/25/88
		5,104,819		Philip E. Freiberger et al.	04/14/92
		5,188,976		Kume et al.	02/23/93
		5,432,114		O	07/11/95
		5,591,681		Wristers et al.	01/07/97
		5,576,226		Hwang	11/19/96
		5,514,889		Cho et al.	05/07/96
		4,766,088		Kono et al.	08/23/88
		5,340,764		Larsen et al.	08/23/94
		5,292,681		Lee et al.	03/08/94

FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
		European Search Report from European Patent Application 95830282.0, filed June 30, 1995		
		IEEE IEDM Technical Digest 93, 1993 pp 321-324, Hsing-Huang Tsend et al., "Thin CVD Stacked Gate Dielectric For ULSI Technology"		

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.